

CUSTOMER ACCEPTANCE FORM

- Please fill out the form in block letters and English -

1. BUSINESS & CONTACT PERSON INFORMATION				
Primary Contact Name :				
Title :				
Legal Company Name :				
Trade License No:				
Date & Place of Incorporation:				
Phone :		Fax :	E-mail :	
Official Website (if applicable) :				
Registered Company Address <small>(Please provide complete information to physically locate your office. Format: Office No. Floor No. Building No. Street, City P.O Box)</small>				
City :		State :		
Country :				
Date of Business Commenced :				
Sole Proprietorship:		Partnership :	Corporation :	Other:
List of Managers / Officer Names				
1		4		
2		5		
3		6		
List of Owners / Partners				
1		4		
2		5		
3		6		
NOTE: WE REQUIRE PHOTO IDS (DRIVERS LICENSE OR PASSPORT) FOR THE PRINCIPAL /BENEFICIAL OWNERS OF THE BUSINESS. THESE MUST BE LEGIBLE COPIES OR SCANS				

1

2. BUSINESS INFORMATION			
Primary Business Activity:			
<input type="checkbox"/> Scrap Collector	<input type="checkbox"/> Trader	<input type="checkbox"/> Miner	<input type="checkbox"/> Other :
Approximate no of employees:			
Material type being traded (e.g. melted bars , coins , loose jewelry)			
Country of Origin of Precious Metals delivered to us?			
How long have you been in business?			
Do you have an AML / CFT policy in place?			
Do you accept material directly from mines?			
Do any of your materials originate from the DRC?		<input type="checkbox"/> YES <input type="checkbox"/> NO	

3. BANK INFORMATION

Bank Name:			
Bank Address :			
City :	State :	Zip Code:	
IBAN/ACCOUNT No :	Swift Code:		
Exact name as it appears on the account:			

4. DOCUMENTS REQUIRED AND CHECK LIST

SR.NO	PROOF	CHECK LIST
1	Certificate of Incorporation	<input type="checkbox"/>
2	Memorandum of Association	<input type="checkbox"/>
3	Trade License	<input type="checkbox"/>
4	Full details of beneficial owners if not mentioned in M & A's	<input type="checkbox"/>
5	List of Directors if not mentioned in M & A's	<input type="checkbox"/>
6	List of Authorized Traders (with their specimen signature and passport copies)	<input type="checkbox"/>
7	Passport Copy of Directors	<input type="checkbox"/>
8	Registered address of the Company	<input type="checkbox"/>
9	Latest Financial Audits	<input type="checkbox"/>

UNDERTAKINGS:

- 1) I/We hereby undertake that the above information is true and correct.
- 2) I/We hereby acknowledge that we received from AURUM PARTNERS FZ-LLC its Supply Chain Policy and its AML-CFT Policy.
- 3) I/We hereby acknowledge that we received from AURUM PARTNERS FZ-LLC the guidance listed below and we undertake to review it thoroughly and to comply with its provisions.
 1. OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas – Supplement in Gold.
 2. DMCC Rules for Risk Based Due Diligence in the Gold and Precious Metal Supply Chain.
- 4) I/We confirm that we are observing and complying with domestic and international laws, rules and regulations, including those governing the illicit trade in precious metals and the United Nation Security Council (UNSC) Sanctions.

5) I/We, we hereby undertake that our sources of precious metals are free from conflict financing, criminal funding, worst forms of child labour and human rights abuses.

5. SIGNATORY	
Signature and Company Stamp:	
Print Name:	
Title:	
Company Name:	
Date and Location:	

Compliance Department
AURUM PARTNERS FZ-LLC