

## **AURUM PARTNERS SUPPLY CHAIN POLICY**

AURUM PARTNERS, a precious metals trader, is fully committed in providing high quality services while meeting the highest ethical and moral standards with respect to responsible sourcing. We are fully committed to follow appropriate standards apply for human rights, labour standards, environmental impact, and business ethics to attain a responsible supply chain.

AURUM PARTNERS understand that Gold and Platinum Group Metals (Platinum, Palladium and Rhodium) recognizing that risk of significant adverse impact which may be associated with extraction, trading, handling, and exporting minerals from conflict-affected and high-risk areas, and recognizing that we have the responsibility to respect human rights and not contribute to conflict, we commit to adopt, widely disseminate and incorporate in contracts and/or agreements with supplier the following policy on responsible sourcing of minerals from conflict- affected and high-risk areas, as representing a common reference for conflict-sensitive sourcing practice and supplier's risk awareness from the point of extraction until end user. We commit to refraining from any action which contributes to the financing of conflict and we commit to comply with relevant United Nation sanction resolutions or, where applicable, domestic laws implementing such resolutions.

We strongly recommend our suppliers to operate in accordance with the OECD, RJC CoC Standard for Precious Metals Supply Chain, the LBMA Responsible Gold Guidance and DMCC Rules for Risk Based Due Diligence in the Gold and Precious Metals Supply Chain.

As a part of our responsibility, AURUM PARTNERS is committed to:

1. Neither gain nor be a part of, assist and facilitate any transactions arising from serious abuses such as inhuman and degrading practices, force and child labour, any forms of human rights violations and all forms of criminal activities.
  - Immediately discontinue dealing with our suppliers where we identify a reasonable risk that their source is from, or linked to, any party committing serious abuses as defined above.
2. Not tolerate any of its suppliers which directly or indirectly support non-state armed groups through the extraction, transport, trade, handling or export of minerals which includes, but is not limited to, procuring minerals from, making payments to or otherwise providing logistical assistance or equipment to, non-state armed groups or their affiliates who:

- i) Illegally control mine sites or otherwise control transportation routes, points where minerals are traded and upstream actors in the supply chain; and/or
  - ii) Illegally tax or extort money or minerals at points of access to mine sites, along transportation routes or at points where minerals are traded; and/or
  - iii) Illegally tax or extort intermediaries, export companies or international traders.
- Not to accept and directly discontinue transactions with upstream suppliers which were identified as high-risk due to involvement and or participation in any of the above-mentioned points.
3. Contribute and participate in the promotion of Responsible Sourcing of Precious Metals to our suppliers by:
    - i) Creating a long-term association with suppliers and established strong relationship with our customers.
    - ii) Supporting our suppliers of precious metals to adhere with the provision of this policy and encourage them to impart or convey it to its staff and their supply chain.
    - iii) Disseminating the precious metal gold guidance by local and international bodies in which this policy was created such as the RJC, DMCC, LBMA and OECD.
  4. Not to offer, promise, give or demand any bribes, and will resist the solicitation of bribes to conceal or disguise the origin of precious metals to misrepresent taxes, fees and royalties paid to governments for the purposes of extraction, trade, handling, transport and export.
  5. Play a part and contribute in elimination of money laundering and terrorist financing by creating a robust management system to act in accordance with the standard of Anti - Money Laundering and Combating Financing Terrorism.
    - To inform and report to the relevant authorities any suspicious individual, entities and transactions arising upon implementing the provision of this policy.
  6. Ensure that effective Due Diligence on a risk-based approach is carried before starting any transactions and continuous monitoring to existing clients to assess the level of risk and plan to mitigate the risk identified.
  7. Create and keep proper and adequate records of all precious metals transaction and activities that demonstrates the due diligence has been strictly followed.

8. Train relevant staff and educate its employees through formal education, trainings or seminars and conferences with the provision of this policy and the responsible sourcing of precious metals.

AURUM PARTNERS require its entire staff involved in the precious metals supply chain to strictly comply with this policy and implement it in the management system.

**Contact Details:**

For questions and/or concerns related to the supply chain policy, employees, stakeholders, and counterparties can send an email to Acknowledgment:

We would like to thank the OECD for the Annex II of the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict – Affected and High-Risk Areas to which parts of this policy is adapted.

Compliance Department  
AURUM PARTNERS FZ-LLC